

## Bounded Composition Operators on Hardy Spaces

\* Yousif . A .bdeltuif<sup>(a)</sup> · Adam zakria<sup>(b,e)</sup> , Ahmed Abdallatif<sup>(c)</sup> Ibrahim Elkhaliil<sup>(d,f)</sup>

- (a) University of Dalanj , College of Education , Department of Mathematics , Sudan.
- (b) University of Kordofan , Faculty of Science, Department of Mathematics, Sudan.
- (c) University of Zalingei , Faculty of Education, Department of Mathematics, Sudan
- (d) Shendi University Faculty of Science, Department of Mathematics, Sudan
- (e) Al Jouf University College of Sciences and Arts Department of Mathematics Kingdom of Saudi
- (f) Al Jouf University College of Sciences and Arts Department of Mathematics Kingdom of Saudi

Corresponding Author: \*Yousif.A. Mohammed

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**ABSTRACT:** We show analytic functions  $\varphi, (\varphi + \varepsilon)$  on  $\mathbb{R}$ , such that  $\varphi(\mathbb{R}) \subseteq \mathbb{R}$ . We can define a weighted composition operator by  $f \mapsto (\varphi + \varepsilon)(f \circ \varphi)$ . In this work we deal with the boundedness, compactness, weak compactness, and complete continuity of weighted composition operators on Hardy spaces  $H_{\delta+1}$  ( $\delta > 0$ ). In particular, we prove that such an operator is compact on  $H_0$  if and only if it is weakly compact on this space. This result depends on a technique which passes the weak compactness from an operator  $T_{r-1}$  to operators dominated in norm by  $T$ .

**Keywords:** weighted composition operators; Hardy spaces; compact operators.

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### I. INTRODUCTION

When does a weighted composition operator map the Hardy space  $H_{\delta+1}$  into itself? A weighted composition operator  $W_{\varphi,(\varphi+\varepsilon)}$  is an operator that map  $s \in \mathcal{K}(\mathbb{R})$ , the space of holomorphic functions on the unit disk  $\mathbb{R}$ , into  $(W_{r-1})_{\varphi,(\varphi+\varepsilon)}(f)(z) = (\varphi + \varepsilon)(z)f(\varphi(z))$ , where  $\varphi$  and  $(\varphi + \varepsilon)$  are analytic functions defined in  $\mathbb{R}$  such that  $\varphi(\mathbb{R}) \subseteq \mathbb{R}$ . These operators turn up in a natural way. And Forelli obtained the same result for the Hardy spaces  $H_{\delta+1}$  when  $\delta > 0, \delta + 1 \neq 2$  (see [7,9]).

When  $(\varphi + \varepsilon) \equiv 1$ , we just have the composition operator  $C_\varphi$  defined by  $C_\varphi(f) = f \circ \varphi$ . In this case, Littlewood's subordination theorem says that  $C_\varphi(f) \in H_{\delta+1}$  whenever  $f \in H_{\delta+1}$ ; that is,  $C_\varphi: H_{\delta+1} \rightarrow H_{\delta+1}$  is a continuous linear map for  $\delta \geq 0$  [3]. The situation is really different when we consider weighted composition operators  $(W_{r-1})_{\varphi,(\varphi+\varepsilon)}$  on  $H_{\delta+1}$ . It is easy to find examples where  $(W_{r-1})_{\varphi,(\varphi+\varepsilon)}(H_{\delta+1}) \not\subseteq H_{\delta+1}$ . In part II, we characterize the boundedness of  $(W_{r-1})_{\varphi,(\varphi+\varepsilon)}$  from  $H_{\delta+1}$  into  $H_{\delta+1}$ .

In part III, we tackle this problem from three points of view: we study the cases where  $(W_{r-1})_{\varphi,(\varphi+\varepsilon)}$  is compact, weakly compact, and completely continuous on  $H_{\delta+1}$ . Let us recall that an operator  $T_{r-1}$  from a Banach space  $X$  into another Banach space  $Y$  is said to be compact if  $T_{r-1}$  maps bounded subsets into relatively norm compact sets;  $T_{r-1}$  is said to be weakly compact if it maps bounded subsets into relatively weakly compact sets; and  $T_{r-1}$  is said to be completely continuous if it maps weakly compact subsets into compact sets. It is well known that if  $T_{r-1}$  is compact, then it is weakly compact and completely continuous. The other implications are not true in general.

In [13], Proved that if a composition operator is weakly compact on  $H_0$ , then it is, in fact, a compact operator on this space. In Theorem 3.4, we prove that  $(W_{r-1})_{\varphi,(\varphi+\varepsilon)}$  is compact on  $H_1$  if and only if it is weakly compact on this space. And a result which passes the weak compactness from one operator  $T_{r-1}$  to another operator  $S_{r-1}$  such that  $\|S_{r-1}x\| \leq \|T_{r-1}x\|$  for all  $x$  see Proposition 3.2. A similar result for compact operators is well known and can be seen, for example, in [5]. We think that our proof is more elementary. It is worth mentioning that in [2,15] the first named author and D'iaz-Madrigal proved that  $(W_{r-1})_{\varphi,(\varphi+\varepsilon)}$  is compact on  $H_\infty$  if and only if it is weakly compact on it.

In Section III, we also characterize the case where  $(W_{r-1})_{\varphi,(\varphi+\varepsilon)}$  is compact on  $H_\infty$ ;  $\delta > 0$ . Note that when  $\delta < 0 < \infty$ ,  $(W_{r-1})_{\varphi,(\varphi+\varepsilon)}$  is always weakly compact on  $H_{\delta+1}$ . Although the classes of completely continuous and compact weighted composition operators agree on  $H_{\delta+1}$  for  $\delta > 0$  this result is obvious for  $\delta > 0$ , and it can be seen in [2] for  $\varepsilon = \infty$ , they are not the same on  $H_0$ . This was pointed out for composition

operators by Cima and Matheson [1,15] by showing that the composition operator  $C_\varphi$ , with  $\varphi(z) = z\left(\frac{z+1}{2}\right)$ , is completely continuous on  $H_0$ , but it is not compact. In part 4, we study the case where  $(W_{r-1})_{\varphi,(\varphi+\varepsilon)}$  is completely continuous on  $H_0$ . For composition operators this result was obtained by [1,15].

In what follows we denote by  $\mathbb{T}$  the unit circle, by  $m$  the normalized Lebesgue measure on  $\mathbb{T}$ , and by  $\|f\|_{\delta+1}$  the usual norm of a function  $f \in H_{\delta+1}$ . We refer the reader to [3,9,14,15] for the terminology and results about spaces of analytic functions.

## II. BOUNDEDNESS

In this part we characterize the boundedness of  $(W_{r-1})_{\varphi,\delta+1}$  on  $H_{\delta+1}$  in terms of a Carleson measure criterion. This criterion has been used to characterize boundedness of composition operators (see, [10,11,15]).

**Definition 2.1.** A positive measure  $\mu$  on  $\overline{\mathbb{R}}$  is called a Carleson measure ( $\overline{\mathbb{R}}$ ), if there is a constant  $M < \infty$  such that  $\mu(S_{r-1}(b, \tau)) \leq M\tau$  for all  $b \in \mathbb{T}$  and  $\tau < 0$ , where  $S_{r-1}(b, \tau) = \{z \in \overline{\mathbb{R}}: |z - b| \leq \tau\}$ .

Most of the information we are going to obtain about weighted composition operators will be given in terms of a certain measure, which we turn to next. Given an analytic function  $\varphi$  of the unit disk into itself, it is well known from Fatou's theorem that the radial limits  $\lim_{r \rightarrow 1} -\varphi(re^{i\theta})$ , exist almost everywhere. So, we can consider  $\varphi$  as a function belonging to  $L_\infty(\mathbb{T}, m)$ . Thus, taking  $H_{\delta+1}$ , we can define the measure  $\mu_{\varphi,(\varphi+\varepsilon),(\delta+1)}$  on  $\overline{\mathbb{R}}$  by

$$\mu_{\varphi,\varphi+\varepsilon,\delta+1}(E) := \int_{\varphi^{-1}(E) \cap \mathbb{T}} |\varphi + \varepsilon| dm,$$

Where  $E$  is a measurable subset of the unit closed disk  $\overline{\mathbb{R}}$ .

The next lemma will be crucial in what follows. In fact, it is a slight generalization of [8,15].

**Lemma 2.1.** From [8]. Fixing  $\delta \geq 0$  and given  $\varphi, \varphi + \varepsilon \in H_{\delta+1}$  such that  $\varphi(\mathbb{R}) \subseteq \mathbb{R}$  we have

$$\int_{\overline{\mathbb{R}}} g d\mu_{\varphi,\varphi+\varepsilon,\delta+1} = \int_{\mathbb{T}} |\varphi + \varepsilon|^{\delta+1} (g \circ \varphi) dm$$

where  $g$  is an arbitrary measurable positive function in  $\overline{\mathbb{R}}$ .

**Proof.** If  $g$  is a measurable simple function defined on  $\overline{\mathbb{R}}$  given by  $g = \sum_{i=1}^n \alpha_i \chi_{E_i}$ , we have that

$$\begin{aligned} \int_{\overline{\mathbb{R}}} g d\mu_{\varphi,\varphi+\varepsilon,\delta+1} &= \sum_{i=1}^n \alpha_i \mu_{\varphi,\varphi+\varepsilon,\delta+1}(E_i) \\ &= \sum_{i=1}^n \alpha_i \int_{\varphi^{-1}(E_i) \cap \mathbb{T}} |\varphi + \varepsilon|^{\delta+1} dm = \int_{\mathbb{T}} |\varphi + \varepsilon|^{\delta+1} \left( \sum_{i=1}^n \alpha_i \chi_{\varphi^{-1}(E_i) \cap \mathbb{T}} \right) dm = \int_{\mathbb{T}} |\varphi + \varepsilon|^{\delta+1} g \circ \varphi dm \end{aligned}$$

Now, if  $g$  is a measurable positive function in  $\overline{\mathbb{R}}$ , we take an increasing

Sequence  $(g_n)$ , of positive and simple functions such that  $(g_n(z)) \rightarrow g(z)$ . For all  $z \in \overline{\mathbb{R}}$ . Then, we have

$\int_{\overline{\mathbb{R}}} g_n d\mu_{\varphi,\varphi+\varepsilon,\delta+1}$ . On the other hand,  $(|\varphi + \varepsilon|^{\delta+1} g_n \circ \varphi)$  is an increasing sequence such that  $(|\varphi + \varepsilon|^{\delta+1} g_n \circ \varphi) \rightarrow |\varphi + \varepsilon|^{\delta+1} g \circ \varphi$  for all  $z \in \mathbb{R}$ , so

$$\int_{\overline{\mathbb{R}}} g_n d\mu_{\varphi,\varphi+\varepsilon,\delta+1} = \int_{\mathbb{T}} |\varphi + \varepsilon|^{\delta+1} g_n \circ \varphi dm \rightarrow \int_{\mathbb{T}} |\varphi + \varepsilon|^{\delta+1} g \circ \varphi dm$$

An obvious necessary condition for  $W_{\varphi,\varphi+\varepsilon}$  to be bounded on  $H_{\delta+1}$  is that  $\varphi + \varepsilon = (W_{r-1})_{\varphi,\varphi+\varepsilon}(1) \in H_{\delta+1}$ . Whereas this condition is trivially sufficient for  $\delta = \infty$  it is not sufficient for  $\delta < \infty$ .

**Theorem 2.2.** Fixing  $\delta \geq 0$  and given  $(\varphi, \varphi + \varepsilon) \in H_{\delta+1}$  such that  $\varphi(\mathbb{R}) \subseteq \mathbb{R}$ , we have that  $(W_{r-1})_{\varphi,\varphi+\varepsilon}$  is bounded on  $H_{\delta+1}$  if and only if  $\mu_{\varphi,\varphi+\varepsilon,\delta+1}$  is a Carleson measure in  $\overline{\mathbb{R}}$ .

**Proof.** On the one hand, by [3],  $\mu_{\varphi,\varphi+\varepsilon,\delta+1}$  is a Carleson measure in  $\overline{\mathbb{R}}$  if and only if there is a constant  $C > 0$  so that

$$\int_{\overline{\mathbb{R}}} |f|^{\delta+1} d\mu_{\varphi,\varphi+\varepsilon,\delta+1} \leq C \|f\|_{\delta+1}^{\delta+1}$$

for all  $f \in H_{\delta+1}$ . On the other hand, by Lemma 2.1, taking  $g = |f|^{\delta+1}$ , we have that

$$\int_{\overline{\mathbb{R}}} |f|^{\delta+1} d\mu_{\varphi,\varphi+\varepsilon,\delta+1} = \int_{\mathbb{T}} |\varphi + \varepsilon|^{\delta+1} |f \circ \varphi|^{\delta+1} dm = \|(W_{r-1})_{\varphi,\varphi+\varepsilon}\|_{\delta+1}^{\delta+1}$$

Hence,  $\mu_{\varphi,\varphi+\varepsilon,\delta+1}$  is a Carleson measure in  $\overline{\mathbb{R}}$  if and only if there is a constant  $C > 0$  so that

$$\|(W_{r-1})_{\varphi,\varphi+\varepsilon}(f)\|_{\delta+1} \leq C^{1/\delta+1} \|f\|_{\delta+1} \text{ for all } f \in H_{\delta+1}.$$

In [12,15], got a sufficient condition of the boundedness of  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  on  $H_1$ . Namely, they showed that if the measures given by

$$\mu(E) := \int_E |(\varphi + \varepsilon)'(z)|^2 (1 - |z|^2) dA(z)$$

and

$$v(E) := \int_E |\varphi + \varepsilon(z)|^2 |(\varphi + \varepsilon)'(z)|^2 (1 - |z|^2) dA(z)$$

for every measurable subset  $E$  of  $\overline{\mathbb{R}}$ , where  $A$  denotes the Lebesgue measure on  $\overline{\mathbb{R}}$ , satisfy

$$\sup_{0 < \tau < 1, b \in \mathbb{T}} \frac{\mu(S_{r-1}(b, \tau))}{\tau^3} < \infty \quad \text{and} \quad \sup_{0 < \tau < 1, b \in \mathbb{T}} \frac{v(S_{r-1}(b, \tau))}{\tau^3} < \infty$$

Then  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is bounded on  $H_2$

### III. COMPACTNESS AND WEAK COMPACTNESS

In this part, we present the main result of this work, namely, every weakly compact weighted composition operator on  $H_0$  is compact on this space. Its proof leans on the following preliminary results. The first one can be found in [4,15].

**Lemma 3.1.** Let  $(x_n)$  be a bounded sequence in a Banach space  $X$ . Then  $(x_n)$  is weakly null if and only if for each subsequence  $(x_{n_k})$ , there is a  $k$  sequence of convex combinations of  $(x_{n_k})$ , that we denote by  $(y_n)$ , such that  $\|y_n\| \rightarrow 0$ .

**Proposition 3.2.** Let  $X, Y$ , and  $Z$  be Banach spaces, and let  $T_{r-1}: X \rightarrow Y$  and  $S_{r-1}: X \rightarrow Z$  be bounded operators such that  $\|S_{r-1}x\| \leq \|T_{r-1}x\|$  for all  $x \in X$ .

Suppose that there are two linear topologies  $\tau_1$  on  $X$  and  $\tau_2$  on  $Y$  such that  $T_{r-1}$  is  $\tau_1 - \tau_2$  continuous,  $(B_X, \tau_1)$  is metrizable and compact, and the weak topology of  $Y$  is finer than  $\tau_2$ . If  $T_{r-1}$  is weakly compact, then so is  $S_{r-1}$ .

Before proving this proposition, it is worth mentioning that we plan to apply it to the spaces  $X = Y = H_1$ ,  $\tau_1$  the topology of uniform convergence on compact sets,  $\tau_2$  the topology of the pointwise convergence, and  $T_{r-1} = (W_{r-1})_{\varphi, \varphi+\varepsilon}$ .

**Proof.** Let  $(x_n)$  be a sequence in  $B_X$ . We have to find a subsequence  $(x_{n_k})$  of  $(x_n)$  such that  $(Sx_{n_k})$  converges in the weak topology of  $Z$ .

Since  $(B_X, \tau_1)$  is metrizable and compact, there is a subsequence  $(x_{n_k})$  of  $(x_n)$  and a point  $d \in B_X$  such that  $(x_{n_k} - d)$  converges to zero in the topology  $\tau_1$ . This is the subsequence we are looking for. Now, using Lemma 3.1, we are going to prove that  $(S_{r-1}(x_{n_k} - d))$  is a weakly null  $k$  sequence. Bearing in mind that  $T_{r-1}$  is  $\tau_1 - \tau_2$  continuous, the weak topology of  $Y$  is finer than  $\tau_2$ , and  $T_{r-1}$  is weakly compact, we have that  $(T_{r-1}(x_{n_k} - d))$  converges to zero in the weak topology. Let us take a subsequence  $Y_k$  of  $(x_{n_k})$ . Then there is a sequence  $(z_k)$  of convex combinations of the  $Y_k$  such that  $\|S_{r-1}(z_k - d)\| \rightarrow 0$ . Since  $\|S_{r-1}(z_k - d)\| \leq \|T_{r-1}(z_k - d)\|$ , we have that  $\|S_{r-1}(z_k - d)\| \rightarrow 0$ . Summing up, for each subsequence  $(y_k)$  of  $(x_{n_k})$ , we have found a sequence  $(z_k)$  of convex combinations of the  $y_k$  such that  $\|S_{r-1}(z_k - d)\| \rightarrow 0$ . By Lemma 3.1,  $(S_{r-1}(x_{n_k} - d))$  converges to zero in the  $k$  weak topology.

The proof of the following lemma can be obtained by adapting the proof of [3].

**Lemma 3.3.** For  $\delta \geq 0$  and  $\varphi, (\varphi + \varepsilon) \in H_{\delta+1}$  such that  $\varphi(\mathbb{R}) \subseteq \mathbb{R}$  and  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is continuous on  $H_{\delta+1}$ , we have that  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is compact on  $H_{\delta+1}$  if and only whenever  $f_n$  is bounded on  $H_{\delta+1}$  and  $f_n \rightarrow 0$  uniformly on compact subsets of  $\mathbb{R}$ , then  $\|(W_{r-1})_{\varphi, (\varphi+\varepsilon)}(f_n)\|_{\delta+1} \rightarrow 0$ .

**Theorem 3.4.** Given  $\varphi, (\varphi + \varepsilon) \in H_0$  such that  $\varphi(\mathbb{R}) \subseteq \mathbb{R}$  and  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is continuous on  $H_0$ , we have that the following assertions are equivalent:

- i. The operator  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is compact on  $H_0$ .
- ii. The operator  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is weakly compact on  $H_0$ .
- iii. The measure  $\mu_{\varphi, \varphi+\varepsilon, 1}$  satisfies.

$$\limsup_{\tau \rightarrow 0} \sup_{b \in \mathbb{T}} \frac{\mu_{\varphi, \varphi+\varepsilon, 1}(S_{r-1}(b, \tau))}{\tau} = 0$$

**Proof.** (i)  $\Rightarrow$  (ii). This is obvious.

(ii)  $\Rightarrow$  (iii). We apply Proposition 3.2 with  $X = Y = H_1, \tau_1$  the topology of the uniform convergence on compact sets,  $\tau_1$  the topology of the pointwise convergence, and, of course,  $T_{r-1} = (W_{r-1})_{\varphi, \varphi+\varepsilon}$ . It is clear that  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is  $\tau_1 - \tau_2$  continuous. Consider the map  $S_{r-1}: H_0 \rightarrow L_0(\overline{\mathbb{R}}, \mu_{\varphi, \varphi+\varepsilon, 1})$  given by  $S_{r-1}(f) = f$ . By Lemma 2.1, we have that  $\|(W_{r-1})_{\varphi, \varphi+\varepsilon}(h)\|_0 = \|S_{r-1}(h)\|_{L_1(\overline{\mathbb{R}}, \mu_{\varphi, \varphi+\varepsilon, 1})}$  for  $h \in H_0$ . Since  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is weakly compact on  $H_0$ , by Proposition 3.2,  $S_{r-1}$  is also weakly compact.

Now, suppose assertion (iii) is not satisfied. Then there are  $\beta > 0, \tau_n \rightarrow 0 (0 < \tau_n < 1)$ , and  $b_n \in \mathbb{T}$  such that  $\mu_{\varphi, \varphi+\varepsilon, 1}(S_{r-1}(b_n, \tau_n)) \geq \beta \tau_n$ . Let us denote  $a_n = (1 - \tau_n)b_n$  and  $f_n(z) = 1/(1 - a_n z)^4$ . Then  $f_n \in H_0$  and

$$\|f_n\|_0 = \frac{1 + (1 - \tau_n)^2}{\tau_n^3 (2 + \tau_n)^3}.$$

Now we take  $g_n = f_n / \|f_n\|_0$ . To get a contradiction, we are going to show that for each subsequence  $(g_{n_k})$ , the sequence  $S_{r-1}(g_{n_k})$  is not weakly  $k$  convergent. By [14, 15], it will be enough to get that the set  $\{S_{r-1}(g_{n_k}): k \in \mathbb{N}\}$  is not uniformly integrable, i.e., there is  $\varepsilon > 0$  such that for every  $\eta > 0$  there exists a measurable subset  $A$  of  $\overline{\mathbb{R}}$  and  $k \in \mathbb{N}$  such that  $\eta_{\varphi, \varphi+\varepsilon, 1}(A) \leq \eta$  and  $\int_A |g_{n_k}| d\mu_{\varphi, \varphi+\varepsilon, 1} \geq \varepsilon$ . Take  $\varepsilon = \beta/4$  and let us fix an arbitrary  $\eta$ . Since  $\mu_{\varphi, \varphi+\varepsilon, 1}$  is a Carleson measure, there is a constant  $M$  such that  $\mu_{\varphi, \varphi+\varepsilon, 1}(S_{r-1}(b_n, \tau_n)) \leq \eta$  for all  $b \in \mathbb{T}$  and  $0 < \tau < 10$ . So, we can take  $k$  such that  $\mu_{\varphi, \varphi+\varepsilon, 1}(S_{r-1}(b_{n_k}, \tau_{n_k})) \leq \eta$ . On the other hand, bearing in mind that  $|f_{n_k}(z)| \geq (2\tau_{n_k})^{-4}$  whenever  $z \in S_{r-1}(b_{n_k}, \tau_{n_k})$ , we have that

$$\int_{S_{r-1}(b_{n_k}, \tau_{n_k})} |g_{n_k}| d\mu_{\varphi, \varphi+\varepsilon, 1} \geq \frac{(2\tau_{n_k})^{-4}}{\|f_{n_k}\|_1} \mu_{\varphi, \varphi+\varepsilon, 1}(S_{r-1}(b_{n_k}, \tau_{n_k})) \geq \frac{(2\tau_{n_k})^{-4}}{\|f_{n_k}\|_1} \beta \tau_{n_k} \geq \frac{\beta}{4}.$$

(iii)  $\Rightarrow$  (i). We will apply Lemma 3.3. Before doing this, we have to introduce an auxiliary Carleson measure  $\mu$ . By (iii),

$$\limsup_{\tau \rightarrow 0} \sup_{b \in \mathbb{T}} \frac{\mu_{\varphi, \varphi+\varepsilon, 1}(S_{r-1}(b_{n_k}, \tau_{n_k}))}{\tau} = 0$$

Then we also have that

$$\limsup_{\tau \rightarrow 0} \sup_{b \in \mathbb{T}} \frac{\mu_{\varphi, \varphi+\varepsilon, 1}(W_{r-1}(b, \tau))}{\tau} = 0$$

where  $(W_{r-1})(b, \tau)$  are the Carleson windows in  $\overline{\mathbb{R}}$  given by

$$W_{r-1}(b, \tau) = \{\mathfrak{C}e^{i\theta} \in \mathbb{R}: 1 - \tau \leq \mathfrak{C} \leq 1, |\theta - t| \leq \tau\}$$

Where  $= e^{it}$ . Given  $\varepsilon > 0$ , we may find  $\tau_0$  such that  $\mu_{\varphi, \varphi+\varepsilon, 1}(W_{r-1}(b, \tau)) \leq 2\varepsilon\tau$  for all  $b \in \mathbb{T}$  and  $\tau \leq \tau_0$ . Let us define the measure  $\mu$  given by

$$\mu(E) := \mu_{\varphi, \varphi+\varepsilon, 1}(E \cap \{z \in \overline{\mathbb{R}}: 1 - \tau_0 \leq |z| \leq 1\})$$

Then  $\mu$  is a Carleson measure on  $\overline{\mathbb{R}}$  with  $\mu(W_{r-1}(b, \tau)) \leq 2\varepsilon\tau$  for  $0 < \tau < 1$  (see [3]). So, by [3], there is a constant  $C$  (independent of  $\varepsilon$ ).

such that

$$\int_{\overline{\mathbb{R}}} |f| d\mu \leq C\varepsilon \|f\|_1,$$

for all  $f \in H_1$ .

Once we have built the measure  $\mu$ , we are going to apply Lemma 3.3 to

get that  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is compact on  $H_0$ . Take  $(f_n)$  a sequence in  $H_0$  such that  $(f_n) \rightarrow 0$  uniformly on compact subsets of  $\mathbb{R}$  and  $\|f_n\|_1 \leq 1$ . Then, by Lemma 2.1,

Since  $(f_n) \rightarrow 0$  uniformly on compact subsets of  $\mathbb{D}$ , there is  $n_0$  such that if  $n \in \mathbb{N}$  and  $n \geq n_0$  we have that  $|f_n(z)| \leq \varepsilon / \mu_{\varphi, \varphi+\varepsilon, 1}((1 - \tau_0)\overline{\mathbb{R}})$  for all  $z \in (1 - \tau_0)\overline{\mathbb{R}}$ .

So

$$\int_{(1-\tau_0)\overline{\mathbb{R}}} |f_n| d\mu_{\varphi, \varphi+\varepsilon, 0} \leq \frac{\varepsilon}{\mu_{\varphi, \varphi+\varepsilon, 0}((1-\tau_0)\overline{\mathbb{R}})} \mu_{\varphi, \varphi+\varepsilon, 1}((1-\tau_0)\overline{\mathbb{R}}) = \varepsilon$$

On the other hand, we have that

$$\int_{\mathbb{R}/(1-\tau_0)\mathbb{R}} |f_n| d\mu_{\varphi, \varphi+\varepsilon, 0} = \int_{\mathbb{R}/(1-r_0)\mathbb{R}} |f_n| d\mu = \int_{\mathbb{R}} |f_n| d\mu \leq C\varepsilon \|f_n\|_0 \leq C\varepsilon$$

Hence  $\|(W_{r-1})_{\varphi, \varphi+\varepsilon} f_n\|_1 \leq (1+C)\varepsilon$ .

**Theorem 3.5.** Fixing  $\delta > 0$  and given  $\varphi, \varphi + \varepsilon \in H_{\delta+1}$  such that  $\varphi(\mathbb{R}) \subseteq \mathbb{R}$  and  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is continuous on  $H_{\delta+1}$ , we have that  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is compact on  $H_{\delta+1}$  if and only if

$$\limsup_{\tau \rightarrow 0} \sup_{b \in \mathbb{T}} \frac{\mu_{\varphi, \varphi+\varepsilon, \delta+1}(S_{r-1}(b, \tau))}{\tau} = 0$$

**Proof.** Suppose that  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is compact on  $H_{\delta+1}$  and that there are  $\beta > 0$ ,  $\tau_0 \rightarrow 0$  ( $0 < \tau_0 < 1$ ), and  $b_n \in \mathbb{T}$  such that  $\mu_{\varphi, \varphi+\varepsilon, \delta+1}(S_{r-1}(b, \tau)) \geq \beta\tau_n$ . Let us denote  $a_n = (1 - \tau_n)b_n$  and  $f_n(z) = 1/(1 - a_n z)^{4/\delta+1}$ . Then  $f_n \in H_{\delta+1}$  and

$$\|f_n\|_{\delta+1}^{\delta+1} = \frac{1}{\tau_n^3} \frac{1 + (1 - \tau_n)^2}{(2 + \tau_n)^3}$$

Now we take  $g_n = f_n / \|f_n\|_{\delta+1}$ . By [3],  $g_n$  converges to zero uniformly on compact subsets of  $\mathbb{R}$ . By Lemma 3.3, to get that  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is not compact, we have just to show that  $\|(W_{r-1})_{\varphi, \varphi+\varepsilon}(g_n)\|_{\delta+1}$  does not converge to zero. Arguing as in the proof of Theorem 3.4, we have that

$$\begin{aligned} \|(W_{r-1})_{\varphi, \varphi+\varepsilon}(f_n)\|_{\delta+1}^{\delta+1} &= \int_{\mathbb{T}} |\varphi + \varepsilon|^{\delta+1} |g_n \circ \varphi|^{\delta+1} dm = \int_{\mathbb{R}} |g_n|^{\delta+1} d\mu_{\varphi, \varphi+\varepsilon, \delta+1} \\ &\geq \int_{S(b_n, \tau_n)} |g_n|^{\delta+1} d\mu_{\varphi, \varphi+\varepsilon, \delta+1} \geq \frac{(2\tau_n)^{-4}}{\|f_n\|_{\delta+1}^{\delta+1}} d\mu_{\varphi, \varphi+\varepsilon, \delta+1}(S_{r-1}(b_n, \tau_n)) \geq \frac{(2\tau_n)^{-4}}{\|f_n\|_{\delta+1}^{\delta+1}} \beta\tau_n \geq \frac{\beta}{4} \end{aligned}$$

The other implication can be obtained by following the same steps as in the proof of Theorem 3.4.

#### IV. COMPLETE CONTINUITY

In this part we characterize the case where  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is a completely continuous operators series. Its proof is a slight generalization of [1,15].

**Theorem 4.1.** Given  $\varphi, \varphi + \varepsilon \in H_0$  such that  $\varphi(\mathbb{R}) \subseteq \mathbb{R}$  and  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is continuous on  $H_0$ , we have  $(W_{r-1})_{\varphi, \varphi+\varepsilon}$  is completely continuous on  $H_0$  if and only if  $\varphi + \varepsilon = 0$  almost everywhere in  $\{e^{i\theta} \in \mathbb{T} : \varphi(e^{i\theta}) \in \mathbb{T}\}$ .

**Proof.** Let  $f$  be a function in  $L_\infty(\mathbb{T}, m)$ . By the Riemann-Lebesgue lemma, the sequence given by its Fourier coefficients is in  $c_0$ , so we have

that  $\int_{\mathbb{T}} f(z) \bar{z}^n dm \rightarrow 0$  as  $n \rightarrow \infty$ . Equivalently, the sequence  $(z^n)$  converges to 0 in the weak topology of  $L_0(\mathbb{T}, m)$ . and, hence, in  $H_0$ . Therefore,  $\|(W_{r-1})_{\varphi, \varphi+\varepsilon}(z^n)\|_0 \rightarrow 0$ . Moreover,

$$\int_{\{e^{i\theta} \in \mathbb{T} : \varphi(e^{i\theta}) \in \mathbb{T}\}} |\varphi + \varepsilon| dm = \int_{\{e^{i\theta} \in \mathbb{T} : \varphi(e^{i\theta}) \in \mathbb{T}\}} |\varphi + \varepsilon| |\varphi|^n dm = \|(W_{r-1})_{\varphi, \varphi+\varepsilon}(z^n)\|_0$$

Hence  $\int_{\{e^{i\theta} \in \mathbb{T} : \varphi(e^{i\theta}) \in \mathbb{T}\}} |\varphi + \varepsilon| dm = 0$ , and we get that  $\varphi + \varepsilon = 0$  almost everywhere on the set  $\{e^{i\theta} \in \mathbb{T} : \varphi(e^{i\theta}) \in \mathbb{T}\}$ .

Conversely, let  $(f_n)$  be a weakly null sequence in  $H_0$ . Since  $(f_n(z)) \rightarrow 0$  for all  $z \in \mathbb{R}$  and  $\varphi + \varepsilon = 0$  almost everywhere in  $\{e^{i\theta} \in \mathbb{T} : \varphi(e^{i\theta}) \in \mathbb{T}\}$ , we have that  $(W_{r-1})_{\varphi, \varphi+\varepsilon}(f_n)$  goes to zero pointwise almost everywhere on the unitcircle. In particular, the sequence  $(W_{r-1})_{\varphi, \varphi+\varepsilon}(f_n)$  converges in measure to zero in  $L_0(\mathbb{T}, m)$ . Moreover,  $(W_{r-1})_{\varphi, \varphi+\varepsilon}(f_n)$  goes to zero in the weak topology of  $H_0$  and, so, in the weak topology of  $L_0(\mathbb{T}, m)$ . Finally, bearing in mind that a sequence in  $L_0(\mathbb{T}, m)$  converges to zero in the norm topology whenever it converges to zero in measure and in the weak topology see [6,15], we have that  $\|(W_{r-1})_{\varphi, \varphi+\varepsilon}(f_n)\|_0 \rightarrow 0$ .

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