

## **Energy Harvesting Using Adaptive Duty-Cycling Algorithm - Wireless Sensor Networks**

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**ABSTRACT:** With the wide spread use of wireless sensor network, the management of the energy resources has become a topic of reseach. Wireless sensor nodes which harvest energy from the environment have become an to battery hooped up nodes. Requirements for economical use of the extracted energy led to development of algorithms that manage the node functions depending on the amount of collected energy. This article introduces a unique solution of adaptively setting the duty-cycle of a wireless sensor nodes so as to maximize its monitoring lifetime. The developed algorithms are particularly suited to energy harvesting wireless sensor networks situated in locations where energy is scarce or where harvested power exhibits ample diurnal or seasonal variation. The results described in this article shows that the proposed wireless sensor network architecture can represent a viable solution for monitoring indoor environments characterized by low illumination. The setup was tested and validated under various lighting conditions, using the adaptive techniques described in the paper.

**Keywords:** wireless sensor networks; energy harvesting; power management; duty-cycling; supercapacitors; solar energy

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### **I. INTRODUCTION**

Wireless sensor networks (WSN) are traditionally powered using batteries. Although this method is acceptable for some applications, it is difficult to ensure maintenance in scenarios where nodes are placed in remote locations and the effort to replace their batteries becomes considerable. The main advantage of harvesting energy is the extended functional time of the node and lower costs due to eliminating the need of battery replacement. However, there are some issues to be addressed in design regarding harvested energy availability. A method to efficiently store the energy when it can actually be collected is needed. The unpredictability of energy levels has to be accounted for in the power management scheme of the sensor node. Although for solar there is a known cycle of day and night, the amount of energy can differ from one day to another, sunny and cloudy days, and this can affect the long term node operation.

This article focuses on harvesting solar energy using small footprint photovoltaic panels and super capacitors as storage devices. The objective is to demonstrate that the hardware and firmware setup is a working solution for continuously monitoring indoor environment conditions with energy harvesting nodes.

### **II. RELATED WORK**

In recent years several work pursued the design of efficient algorithms in energy harvesting sensor nodes. The article written by Kansal et al. [1] is one of the first works to study power management in energy harvesting WSN. It introduced Energy Neutral Operation (ENO) for energy harvesting nodes. This states that the energy consumption will be kept in balance with the gained energy over a defined time period, such that the node operates continuously. The proposed algorithm is splitting time in N equal slots per day. Inputs are the current harvested energy levels along with predicted harvesting values. Prediction on the amount of solar energy to be harvested is done using an Exponentially Weighted Moving-Average (EWMA) filter applied on the previously collected data. The duty-cycle is computed to compensate for the difference between the actual values and the ones predicted by the model.

Vigorito et al. [2] propose a model-free approach to the duty-cycling problem by exploiting adaptive control theory techniques. A control algorithm is applied to the dynamic system, the harvesting node, with the objective of keeping the voltage within an interval centered on a target value. The equation to be solved is minimizing the quadratic cost function  $|\text{output} - \text{target}|^2$  while keeping the ENO valid. After calculating the duty-cycle, a smoothing function is applied on the obtained value in order to lower the variance. It is considered that lowering the variance can be a requirement of certain WSN applications.

In the work published by Cammarano et al. [3], a new prediction model named Pro-Energy is proposed, claiming an improvement of 60% over previous models such as EWMA or WCMA [4]. The disadvantage of EWMA was that the weight of the previous day data in estimating the energy intake for the current day was too large, leading to prediction errors when sunny and cloudy days were alternating. Hsu et al. [5], introduce a system model view of an energy harvesting node and a theoretical framework to calculate the optimal power

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management in such a node, based also on ENO. Next the solution for duty-cycling wireless sensor nodes is presented. Two novel algorithms that calculate the sleep times based on current and previous energy levels are introduced.

### III. ADAPTIVE DUTY-CYCLING ALGORITHMS

The design of the adaptive algorithms is founded on the fact that the largest energy consumer source in the node is the radio transceiver during data transmission. As a consequence, a decrease in the transmission rate during a time period leads to less energy being spent. In the experimental setup harvested solar energy using photovoltaic panels is employed on nodes that monitor temperature, light and humidity. A simple topology consisting of the target node that directly reports the collected values to the base station is employed. The inputs to the algorithm are:  $V$ , the current voltage, Previous $V$ , voltage from anterior reading,  $V_{MAX}$ , maximum operating voltage,  $V_{MIN}$ , minimum voltage under which the node will shut down its function.

For the first algorithm, the time period of one day is split in  $N$  minutes time slots and read the current voltage at the end of each time slot. This way it can be easily determined if the system lost or gained energy during the last slot, with the current sleep rate. The output  $S$  is the period under which the node will function in low power mode, which is referred to as sleep time. After this period, the node wakes up, executes its function and transmits data to the gateway. Fig. 1 shows the principle through two consecutive time slots with different sleep time values.

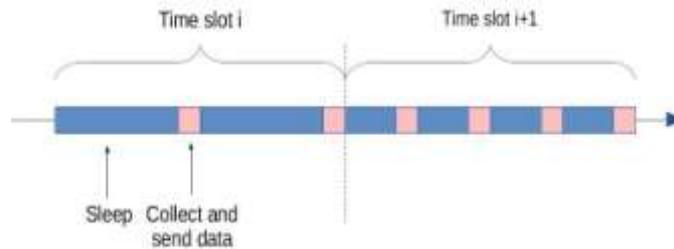


Fig. 1. Duty-cycling principle

The algorithm is based on three threshold values for the voltage level: first  $T_{MAX}$ , voltage threshold over which the sleep time at the minimum  $S_{MIN}$  is set, second  $T_{MIN}$ , voltage threshold under which the sleep time at the maximum  $S_{MAX}$  is set and third  $CRITICAL = V_{MIN} + E$ , the threshold under which no data transmission through the radio transceiver is done. Between  $T_{MIN}$  and  $T_{MAX}$  the algorithm adapts the sleep period proportional with the difference  $\Delta V$  between current and previous voltage level.  $\Delta V$  represents the amount of energy gain if positive, or loss if negative. The following sleep rate adaptation is applied:

$$S_{t+1} = S_t - V * INC \quad \square \square \square$$

$INC$  is the increase value for the sleep period. This value adapts according to  $\Delta S$ , the difference between current and previous sleep rate. When energy is gained using a sleep rate lower than the previous,  $INC$  is also increased by  $\beta$ . This leads to a larger decrease of the sleep rate and increases the energy consumption. Similarly when energy is lost and the sleep rate was already increased,  $INC$  is increased by a constant amount  $\beta$ . If consecutive time slots have voltage increase or decrease together with sleep decrease/increase the sleep rate will grow or shorten quicker than if the degradation is kept constant. The algorithm is defined in pseudo-code below.

**Algorithm 1** Adaptive Algorithm 1

- 1: **procedure** SleepAllocation
- 2:      $S \leftarrow$  initial sleep time
- 3:      $INC \leftarrow$  initial sleep increase factor
- 4:     **for** each time slot **do**
- 5:          $\Delta V \leftarrow V - \text{Previous}V$
- 6:          $\Delta S \leftarrow S - \text{Previous}S$
- 7:         **if**  $\Delta V > 0$  **and**  $\Delta S < 0$  **or**  $\Delta V < 0$  **and**  $\Delta S > 0$  **then**
- 8:              $INC \leftarrow INC * \beta$

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9:     else
10:    INC ← initial sleep increase factor

11: S ← S – ΔV * INC
12: if V < CRITICAL then
13: Disable sending data
14: if V ≤ TMIN then
15: S ← SMAX
16: if V ≥ TMAX then
17: S ← SMIN
18: end for
19: end procedure

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In the second algorithm, the day period is not split in multiple time slots and a decision is taken whenever a slot is finished. The sleep update procedure is called every time the node is waken up and is dependent on the value of the current sleep. TMIN, TMAX and CRITICAL thresholds are still used, but the manner in which the sleep period converges towards SMIN or SMAX is not as steep as in the previous algorithm. Furthermore, the incremental approach of sleep updating is replaced with a new, exponential one. The sleep rate is adapted in the manner described below in (2), with sleep increase or decrease being proportional with the current sleep time value:

$$S_{t+1} = S_t - \Delta V * S / \alpha \quad \square \square \square$$

In the above equation,  $\Delta$  represents the direction of the voltage update: positive, i.e. 1, if the voltage increases compared to the previous reading, and negative, i.e. -1, if the voltage decreases. Furthermore,  $\alpha$  is a configurable parameter, determining how much the sleep period is modified compared to its current value.

Another difference between the first and the second algorithms is that the sleep adaptation function is not called only if the voltage value is between TMIN and TMAX. The sleep period continues to be adapted even when the voltage is out of [TMIN, TMAX] range, until the sleep value reaches one of the SMIN or SMAX values. As already stated, when the voltage goes below TMIN or above TMAX, the sleep period does not get updated immediately to SMIN or SMAX. Rather than doing this, the sleep rate adaptation slowly drives the sleep period in the direction of those values. If the voltage drops below TMIN and the sleep period is still under SMAX, the sleep rate adaptation function is further called each time a voltage decrease is detected, having as a result an increase of the sleep period. The new sleep period is compared with SMAX, taking its value when it exceeds it. However, if a voltage increase is detected while the voltage value is below TMIN, no sleep period update is performed. The next sleep update will occur the first time when the voltage value becomes greater or equal than TMIN.

Similarly, if the voltage increases above TMAX and the sleep period value is greater than SMIN, the sleep rate adaptation function is still called each time a voltage increase is detected, having as a result a decrease of the sleep period. The new sleep period is compared with SMIN, taking its value when it becomes smaller than it. If a voltage decrease is detected while the voltage value is above TMAX, no sleep period update is performed. The next sleep update will occur the first time when the voltage value drops below TMAX or is equal to it.

In order to better translate all the ideas above into pseudo-code, three new functions are introduced: BelowRange(V), InRange(V) and AboveRange(V). Each of them returns true or false, comparing the voltage it receives as argument with TMIN and TMAX. To be more specific, BelowRange(V) returns true when  $V < TMIN$  and false otherwise. InRange(V) returns true when  $TMIN \leq V \leq TMAX$  and false otherwise. AboveRange(V) returns true when  $TMAX < V$  and false otherwise. The complete algorithm is defined in the pseudo-code below.

**Algorithm 2** Adaptive Algorithm 2

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1: procedure SleepAllocation
2: S ← initial
3: for each sleep time slot do
4: ΔV ← V – PreviousV
5: if ΔV > 0 then
6: if InRange(V) or AboveRange(V) then
7: S ← S – S/α

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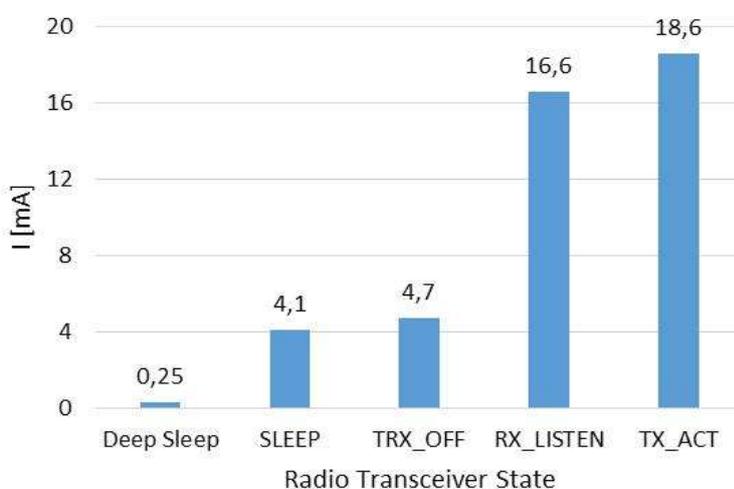
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8: if  $\Delta V < 0$  then
9: if InRange(V ) or BelowRange(V ) then
10:  $S \leftarrow S + S/\alpha$ 
11: if  $V < \text{CRITICAL}$  then
12: Disable sending data
13: if  $S < \text{SMIN}$  then
14:  $S \leftarrow \text{SMIN}$ 
15: if  $S > \text{SMAX}$  then
16:  $S \leftarrow \text{SMAX}$ 
17: end for
18: end procedure

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#### IV. EXPERIMENTAL SETUP

The system integrates, roughly, four parts: a Sparrow v3 wireless sensor node, a solar panel, a DC to DC converter circuit, and a super capacitor. The current paper is based on the work done by Marin et al. [6], and the same wireless sensor node architecture, Sparrow v3, was described in detail in [7]. Sparrow v3 is a WSN equipped with three types of sensors: temperature, relative humidity and ambient light. Its microcontroller is an ATmega128RFA1 [8], which incorporates a 2.4GHz radio transceiver, compatible with IEEE standard 802.15.4. Detailed power consumption levels for the processor are illustrated in Fig. 2.



**Fig. 2.** Atmega128RFA1 power consumption states

The solar panels used for the experimental setup were much larger than the IXOLAR<sup>TM</sup>SolarBITs previously used [9], which occupied a total surface of 1.54 cm<sup>2</sup> and had an output of 0.51V, 39mA (XOB17-12x1) and 1.53V, 11.7mA (XOB17-04x3). These panels proved to be a good choice if the wireless sensor node was kept outdoors, but were not suitable for an indoor scenario. For this, larger panels were needed. One of these two new solar panels has a surface of 61.75 cm<sup>2</sup>, the voltage and current output being of 2V and 100mA, respectively. The other one has a surface of 67.5 cm<sup>2</sup> and an output of 18V and 15mA. From now on, these solar panels will be referred to as Panel A and Panel B. Two different DC to DC converters have been used in the experiments described in this article. The one coupled with Panel A is based on BQ25504 [10]. The second one, coupled with Panel B, is based on LTC3129 [11]. From now, the first panel - DCDC pair will be referred to as Setup A and to the second pair as Setup B. In the previously published work, a DC to DC converter circuit based on LTC3105 [12] was used. Similar as before, to the pair XOB17-12x1 - LTC3105 will be referred to as Setup O1, XOB17-04x3 - LTC3105 pair being Setup O2.

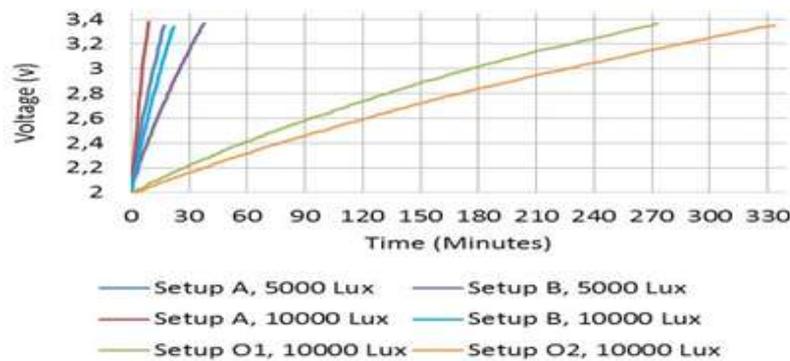
The increase in charge rate was observed for all previously mentioned setups when subjected to the same constant illumination conditions. For each setup, the increase in voltage from a minimum value  $V_{MIN} \approx 2V$  to a maximum of  $V_{MAX} \approx 3.4V$  was recorded. The storage element used in these tests was the same 15F/4.6V super capacitor and all experimental setups run the same application: sleep for one minute, wake up to read data from sensors, compose a package of 13 bytes which is sent to the gateway, then resume sleep.



**Fig. 3.** Charging rate comparison between Setup A and B

In Fig. 3 the charging rates of Setup A and Setup B are compared. It can be observed that the charging rate is much better for the first setup, which can charge the energy storage devices two times faster than the second setup. It is shown later in the article how this difference affects the sleep adaptation algorithm of nodes using Setup A and Setup B.

Fig. 4 shows the difference between the current charging rate of Setup A and Setup B, on one hand, and the charging rate observed when using Setup O1 and Setup O2, on the other hand.



**Fig. 4.** Charging rate comparison between Setup A, B, O1 and O2

maximum for the day at approximately 3V. After that, the node began to drop energy in the evening, after sunset. Both nodes used a super capacitor of 3F/5V in this experiment Voltage monitoring was performed with the nodes running an adaptive algorithm which modified the sleep time when a voltage increase was detected. The period for which the nodes were sleeping was less than a minute for most of the time when the voltage maintained its increasing rate.

It can be observed that for the same illumination conditions, with the newest setups the charging rates grow with up to 40 times compared to the first approach. This observation further underlines the efficiency of the current setups for indoor placement, when compared to the old approach. The goal of harvesting as much energy as possible in low lighting conditions is hence met.

The format of the messages sent by the monitoring nodes to the gateway is described in Fig. 5. The preamble of the package retains details about its size. The next two bytes are reserved for the node's address while next 32 bits retain a message sequence number. The nodes are capable of creating large-scale networks with many years of indoor monitoring, so these fields need to be sized accordingly. Following, there are four fields of one byte each, for storing sensor data: temperature, relative humidity, light and voltage. In the end, a two bytes CRC is padded, for integrity checking on the gateway.

size	address	seq_nr	temp	humid	light	volt.	crc
8bits	16bits	32bits	8bits	8bits	8bits	8bits	16bits

Fig. 5. Message format

### V. TESTING ENVIRONMENT CHARACTERIZATION

The performance of the algorithms and setups described in the previous two sections was evaluated by running experiments in various illumination conditions. Fig. 6 highlights the illumination conditions during a clear August day and the voltage variation for the Setup A and Setup B. The nodes charged until the light intensity reached the peak of approximately 500Lux at noon, the voltage level reaching its

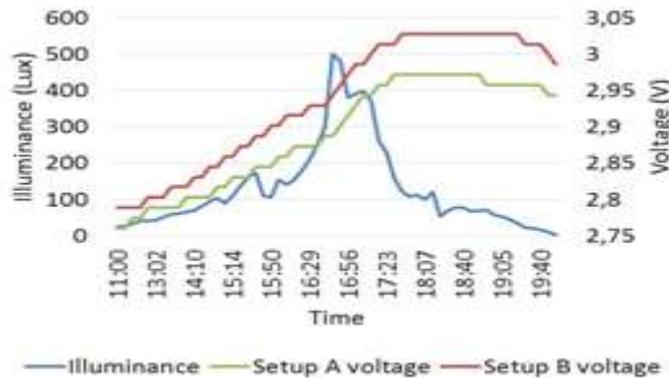


Fig. 6. Environment A illumination conditions and voltage variation

Compared to the results of the experiment in Section 4, where Setup A behaved overall better than Setup B, the results shown below may seem contradictory, since it may be thought that Setup B had better harvesting results. The reason for which this happens is because Setup B sends fewer messages than Setup A (its sleeping interval is always longer than the one of Setup A). In order not to complicate the chart, the sleep period was not added in the representation. From this moment the illumination conditions presented will be referred to as Environment A.

The experiment was repeated in a different environment, with lower maximum light intensity, but with higher average intensity. As it can be observed from Fig. 7, for a similar day of August, with maximum illumination between 300 and 350Lux the nodes charged and began to drop energy only in the evening. Setup A charged in this second experiment a larger capacitor, of 15F/4.6V, this being the reason for which its voltage variation looks so different than the one of Setup B, which uses a capacitor of 3F/5V.

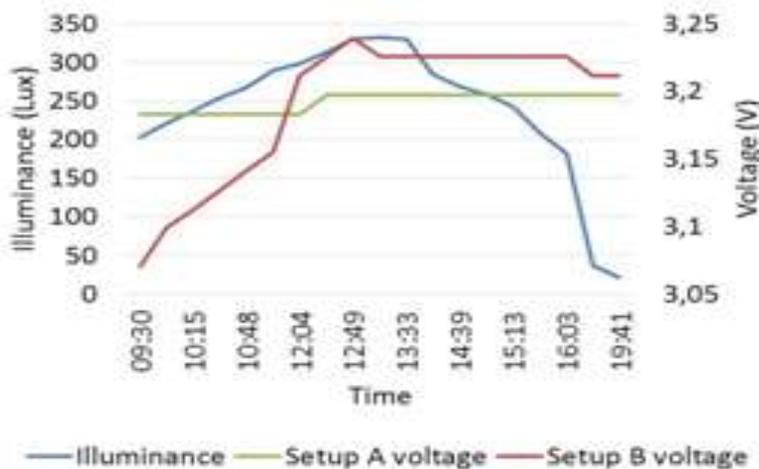


Fig. 7. Environment B illuminance conditions and voltage variation

Both systems harvest more energy in this second illumination conditions compared to Environment A.

It can be seen that in the conditions presented in Fig. 6, the illumination goes over 200Lux for a period of only one hour, while here the same thing happens for most of the day, as shown in Fig. 7. From this moment, the illumination conditions presented in the figure below will be referred to as Environment B.

In the next section the adaptive algorithms' behaviour in the two previously described environments is presented.

### VI. EXPERIMENTAL EVALUATION

Algorithm 1 first results are presented in Fig. 8. The blue dotted line shows how the sleep time adapts with the voltage level changes caused by the day-night cycle. For the first experiment the node was operating continuously during a five day period, without any dead times. The thresholds were set at the following values: CRITICAL=1.9V, TMIN =2.11V, TMAX =3.23V. The initial sleep increase factor INC is set at 10s and  $\beta$  is 2. Minimum sleep time SMIN is 1s and maximum sleep time SMAX is 300s. The recorded sleep time was a maximum of 140 seconds, so it never reached SMAX. On voltage increase sleep time reaches SMIN in the afternoon corresponding with the highest illumination values shown in Fig. 6. The voltage for both Setup A and Setup B, shown in Fig. 9, oscillates between 2V and 2.5V. Voltage readings from each day at same hour were compared and the conclusion was that the ENO principle is respected, the node does not lose energy on long term.

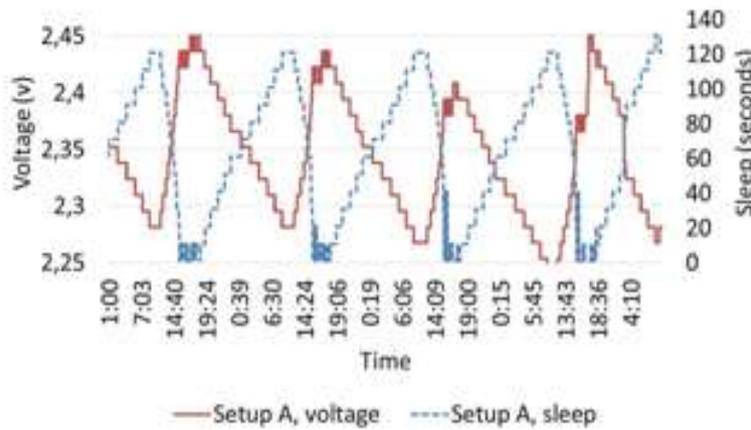


Fig. 8. Algorithm 1. Environment A. Setup A

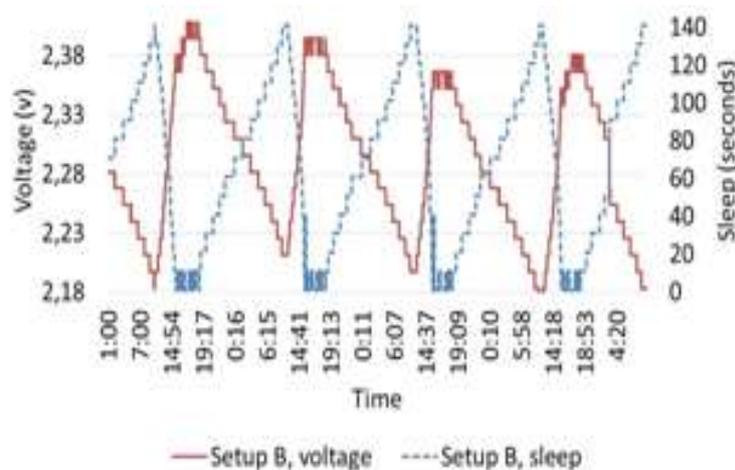


Fig. 9. Algorithm 1. Environment A. Setup B

In Environment B the voltage levels shown in Fig. 10 show how the algorithm behaves for a setup with the large capacitor of 15F. Due to the higher capacity, for this node the voltage variation is slower and sleep time as well does not vary too much, remaining in the 30 to 50s range. Fig. 11 details the

voltage-sleep variation for Setup B. Because the average light intensity is higher in Environment B the sleep period is consistently at 1s during the day. Again, for the latter experiment the ENO condition is respected.

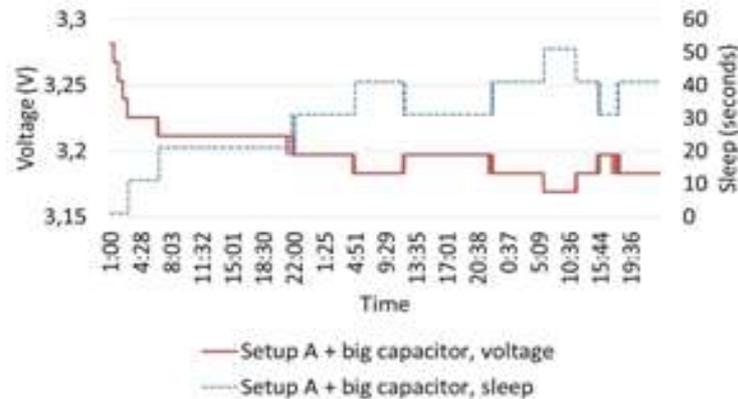


Fig. 10. Algorithm 1. Environment B. Setup A. Big super capacitor.

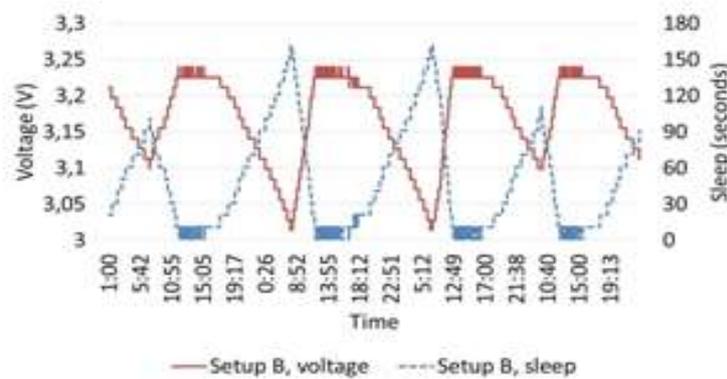


Fig. 11. Algorithm 1. Environment B. Setup B

Algorithm 2 tests were first conducted in Environment A, with an  $\alpha$  value of  $1/2$ . Other thresholds were set as following: SMIN=10 seconds, SMAX=1800 seconds, TMIN=2.8V, TMAX=3.0V and CRITICAL=1.9V. An  $\alpha$  value of  $1/2$  means that each time a voltage variation was detected, the sleep time was modified accordingly, increased or decreased, with a difference of half compared to its current value. Also, TMIN and TMAX values suggest that desired voltage levels need to be kept in the [2.8V, 3.0V] range. The results with the current threshold values were not so good, as it can be seen in Fig. 12 and Fig. 13. The measurements taken during a period of five days show a clear descendent trend for the voltage.

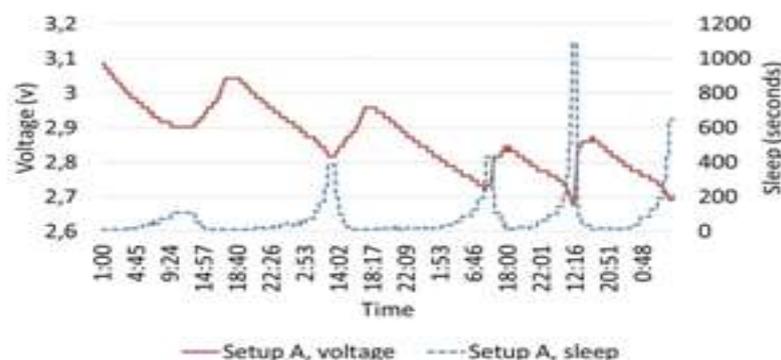


Fig. 12. Algorithm 2. Environment A. Setup A,  $\alpha = 1/2$

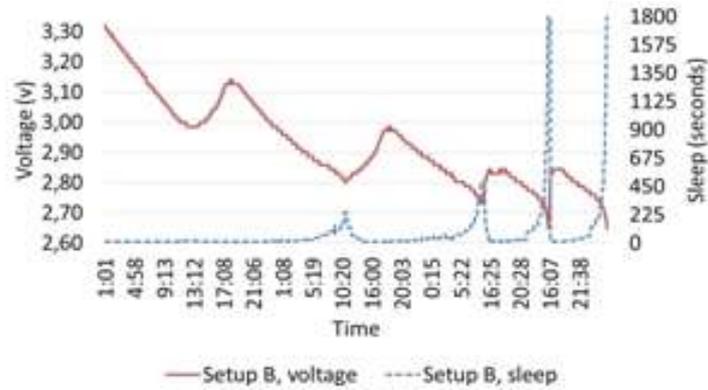


Fig. 13. Algorithm 2. Environment A. Setup B,  $\alpha = \frac{1}{2}$

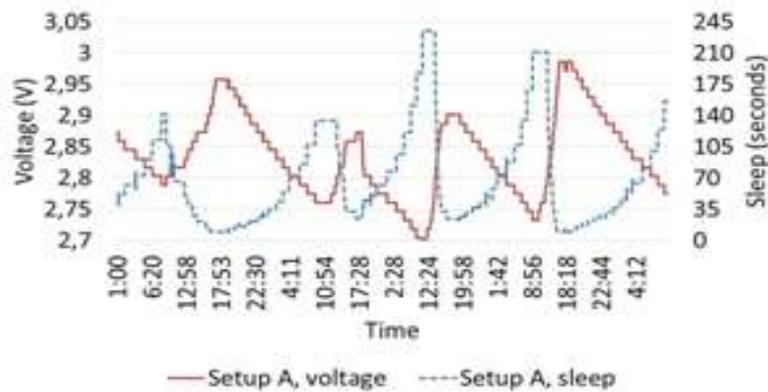


Fig. 14. Algorithm 2. Environment A. Setup A  $\alpha = 1/4$

The node sleep time moves too fast from its maximum SMAX value to its minimum SMIN value, hence making the node to send too many packages as soon as some harvesting begins. It is true, on the other hand, that the sleep time goes quickly from SMIN to SMAX as well, but considering the obtained data, where the nodes' sleeping values are very low for most of the day, going to minimum as soon as some energy harvesting begins, a potential improvement in lowering the value of  $\alpha$  can be seen. This will have as a result a slower transition from SMIN to SMAX and back. It is true that the sleep time will rarely to never reach SMAX again in this conditions (in the testing environment conditions), but the same thing will happen when referring to SMIN, as well.

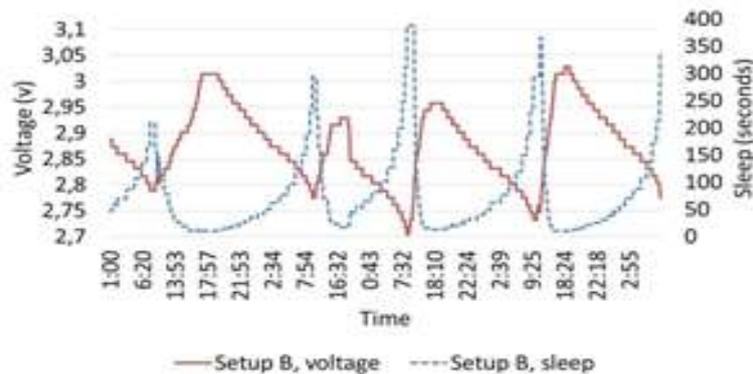


Fig. 15. Algorithm 2. Environment A. Setup B,  $\alpha = \frac{1}{4}$

Considering the previous results the second algorithm needs improvement. The threshold  $\alpha$  was modified from  $1/2$  to  $1/4$ , as in order to make the sleep adaptation less steep than the previous one. The obtained

results were much better, for both setups, and can be seen in Fig. 14 and Fig. 15. It can be observed that the voltage values have a better trend, the sleep time varying, at the same time, in a less steep manner. Both setups behaved well, as the voltage value was kept in therequested range. One could observe, again, that Setup B reaches sleep times of greater value than Setup A, the differentiator being the solar panel capabilities in terms of voltage and current.

## VII. CONCLUSIONS

Development of harvesting solar energy technologies in WSN motivated this work. Solutions of efficiently using the harvested energy to power a Sparrow v3 sensor node in a monitoring application were successfully developed and tested. The proposed algorithms make use of duty-cycling to alternate between deep sleep mode and active mode in the node.

The article shows how performance of the described algorithms can be improved, their impact on the overall node lifetime, allowing the network to continue functioning for several consecutive days in fluctuating illumination conditions. Furthermore, the authors try to find the best solution from a hardware point of view, making the Sparrow Wireless Sensor Network suitable for uninterruptedly indoor monitoring.

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